



SEP IRA CONTRIBUTION ALLOCATION FORM

IMPORTANT: This form allocates employer SEP IRA contributions to employees' DDJ Funds SEP IRAs. Please enclose DDJ Funds IRA Application Form(s) for all employees who are opening DDJ Funds SEP IRAs at this time.

SECTION 1: Employer Information

Employer Name

Employer Address (Required) - P.O. Box not accepted

City, State, Zip Code

Mailing Address - If different from above (P.O. Boxes accepted)

City, State, Zip

()

Day Phone

()

Evening Phone

E-mail Address

SECTION 2: Employer SEP Contributions

Please indicate the total amount of the contribution that you are sending with this form.

\$ _____ For tax year 20 _____

Please enclose a check made payable to: DDJ Funds

SECTION 3: Allocation to Employee's IRA

Identify each employee who is covered by this contribution; indicate the amount of the total contribution that goes to each employee, along with investment instructions. If the instructions are on the employee's DDJ Funds SEP IRA Application Form (which you are sending with this form), check box A. If the contribution is for your employee's existing DDJ Funds IRA(s), check box B and provide the information indicated.

If you need space for additional employees, please enclose an additional piece of paper.

NOTE: DDJ Funds cannot accept any contributions without investment instructions. (Even if yours is a "one person company", please complete the section above (Employer Information) and this section providing the information required for yourself as an employee.)

Employee One

Employee Name

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions

A. Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:

SECTION 3: Allocation to Employee's IRA (continued)

Investment Allocation

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

Employee Two

Employee Name

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions

A. Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:

Investment Allocation

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

Employee Three

Employee Name

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions

A. Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:

SECTION 3: Allocation to Employee's IRA (continued)**Investment Allocation**

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

Employee Four**Employee Name**

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions **A.** Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

 B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:**Investment Allocation**

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

Employee Five**Employee Name**

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions **A.** Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

 B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:

SECTION 3: Allocation to Employee's IRA (continued)**Investment Allocation**

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

Employee Six**Employee Name**

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions **A.** Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

 B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:**Investment Allocation**

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

Employee Seven**Employee Name**

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions **A.** Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

 B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:

SECTION 3: Allocation to Employee's IRA (continued)**Investment Allocation**

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

Employee Eight**Employee Name**

Social Security Number

Date of Birth (MM/DD/YY)

Amount of Total SEP Contribution that goes to this Employee's IRA

Investment Instructions **A.** Employee DDJ Funds SEP IRA Application Form enclosed; instructions therein.

OR

 B. Deposit to existing employee DDJ Funds IRA Account(s) as follows:**Investment Allocation**

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
DDJ Opportunistic High Yield Fund Institutional	21000	DDJIX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class I	21001	DDJCX	\$ _____		_____	%
DDJ Opportunistic High Yield Fund Class II	21002	DDJRX	\$ _____		_____	%
Total			\$ _____		100	%

SECTION 4: Signature

I authorize the DDJ Funds and its agents to act upon instructions (by phone or in writing) believed to be genuine for this account or any account into which exchanges are made. I agree that neither the DDJ Funds nor its agents and affiliates will be liable for any loss, cost, or expense for acting on such instructions, provided the Funds employ reasonable procedures to confirm that instructions are genuine.

Signature (of individual filling out application)

Date (MM/DD/YY)

Please mail completed form to:**Regular Mail:**

DDJ Funds
P.O. Box 1920
Denver, CO 80201

Overnight Mail:

DDJ Funds
c/o ALPS Fund Services, Inc.
1290 Broadway, Suite 1000
Denver, CO 80203

or Fax to 866-205-1499

If you have any questions, please contact an Investor Service Representative at 1-844-363-4898.